

SUMMARY OF APPLICATION FOR THE GM PRODUCT PT73 *E. COLI* (THR) FOR FEED USE

A. GENERAL INFORMATION

1. Details of application

a) Member State of application
France
b) Application number
Not yet allocated to the Applicant at the time of the remittance of the dossier to the French competent authorities.
c) Name of the product (commercial and other names)
-For the purpose of this dossier: PT73 <i>E. coli</i> (THR) -Commercial name: PROT-AEL-T (However, subject to the confirmation that it may be acceptable as registered trade mark)
d) Date of acknowledgement of valid application
Validity of the application to be established by EFSA.

2. Applicant

a) Name of applicant
Ajinomoto Eurolysine S.A.S, contact person: Philippe Guion
b) Address of applicant
153, rue de Courcelles 75817 PARIS Cedex 17 France
c) Name and address of the person established in the Community who is responsible for the placing on the market, whether it be the manufacturer, the importer or the distributor, if different from the applicant (Commission Decision 2004/204/EC Art 3(a)(ii))
The person established in the Community responsible for the placing on the market will be the Applicant.

3. Scope of the application

- ☐ GM microorganisms and/or derived products for food use
- ☒ GM microorganisms and/or derived products for feed use
- ☐ GM microorganisms and/or derived product(s) belonging to Group 1, as defined in Chapter II, 2. of this Guidance
- ☒ GM microorganisms and/or derived product(s) belonging to Group 2, as defined in Chapter II, 2. of this guidance
- ☐ GM microorganisms and/or derived product(s) belonging to Group 3, as defined in Chapter II, 2. of this guidance
- ☐ Import and processing (Part C of Directive 2001/18/EC)

4. Is the product being simultaneously notified within the framework of another regulation?

Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes, specify	

5. Has the GM microorganism been notified under Part B of Directive 2001/18/EC and/or Directive 90/220/EEC?

Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If no, refer to risk analysis data on the basis of the elements of Part B of Directive 2001/18/EC	

6. Has the GM microorganism or derived products been previously notified for marketing in the Community under Part C of Directive 2001/18/EC or Regulation (EC) 258/97?

Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes, specify	

7. Has the product been notified in a third country either previously or simultaneously?

Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
If yes, specify	

8. General description of the product

<p>a) Name of the recipient or parental microorganism and the intended function of the genetic modification</p> <p>The product PT73 <i>E. coli</i> (THR), subject of the present application, consists of the dried killed cells of a genetically modified strain of <i>Escherichia coli</i> K12, named strain TK7. The strain TK7 is used by the Applicant for the production of L-threonine by fermentation of substrates of agricultural origin.</p> <p>Strain TK7 consists of recipient strain TKIIHΔPPC and the plasmid pK1:APT:AB. The purpose of the genetic modification is to increase the enzymatic activities of the threonine biosynthetic pathway and of the general metabolism pathway.</p>
<p>b) Types of products planned to be placed on the market according to the authorization applied for</p> <p>The product PT73 <i>E. coli</i> (THR) (dried killed bacterial biomass) mentioned in a), will be a by-product of the L-threonine manufacturing process using strain TK7 (TKIIHΔPPC/ pK1:APT:AB).</p>
<p>c) Intended use of the product and types of users</p> <p>The increased production of L-threonine having resulted in an increased tonnage of the bacterial biomass recovered after completion of the fermentation phase. Therefore, a new outlet had to be found for this by-product.</p> <p>Considering its high nitrogen content, this dried killed bacterial biomass may serve as a direct or indirect source of protein for animals. Therefore, a use as feed material - a source of crude protein - for compound feedingstuffs formulated for pigs, salmonids and ruminants (inter alia dairy cows) is found.</p> <p>The product will be sold in pellet form and in 'bulk' to feed mills only.</p>
<p>d) Specific instructions and/or recommendations for use, storage and handling, including mandatory restrictions proposed as a condition of the authorization applied for</p> <p>Handling Instructions for handling are standard precautions for powdered products or products generating fine dust mentioned in the material safety data sheet. The product may cause sensitisation by inhalation and skin contact (as any protein-containing product). It may also cause feelings of discomfort.</p> <p>Storage The product has to be stored at dry conditions in standard silos and kept away from ignition and heat sources</p> <p>Use in compound feedingstuffs:</p> <p><u>* Pigs</u> (for fattening, grower- finisher) Maximum incorporation rate in the feed: 12% (as is basis) for fattening</p> <p><u>* Dairy cows</u> (for milk production) <u>& ruminants</u> (in general for meat and milk production as from the beginning from rumination) Maximum incorporation rate in the feed: 7.3% (as is basis) dairy cows</p> <p><u>* Salmonids</u> Maximum incorporation rate in the feed: 13% (or replacement of 20% of fish meal. Fish feedingstuff containing 65% fish meal).</p>

<p>e) Any proposed packaging requirements</p> <p>Except granulating/pelleting the product will be sold as such in bulk to feed mills. There are no proposed packaging requirements.</p>
<p>f) A proposal for labeling in accordance with Articles 13 and Articles 25 of Regulation (EC) 1829/2003. In the case of GMOs, food and/or feed containing or consisting of GMOs, a proposal for labeling has to be included complying with the requirements of Article 4, B(6) of Regulation (EC) 1830/2003 and Annex IV of Directive 2001/18/EC</p> <p>a) As feed material</p> <ul style="list-style-type: none"> - Feed material - (<i>Name</i>): Bacterial protein, by-product from the production of L-threonine, produced from genetically modified microorganism - Nitrogen expressed as crude protein - Moisture: maximum 10% - Crude Ash - Approval number (Regulation 183/2005 -Directive 95/69/EC) <p>b) Declarations to be made on the label or packaging of compound feeding stuffs</p> <ul style="list-style-type: none"> - The name: ‘Bacterial protein, by-product from the production of L-threonine, produced from genetically modified micro-organism’ - Amount of the product contained in the feedingstuffs. - Percentage of the total crude protein provided by non-protein nitrogen <p>As the product will be delivered in bulk to feed mills (delivery by means of tank trucks), the information corresponding to labelling will be provided to customers by means of the commercial documents preceding or accompanying the delivery of the product (taking into account the official language of the country of destination) and the commercial technical sheet corresponding to this product.</p>
<p>g) Unique identifier for the GM microorganism in accordance with Regulation (EC) 65/2004</p> <p>Not applicable.</p> <p>Strain TK7 is used for production in containment only.</p> <p>The manufacturing process of L-threonine and of the by-product/ dried killed bacterial biomass PT73 <i>E. coli</i> (THR) ensures that the final product will not contain viable cells nor transferable DNA of the L-threonine producer GM microorganism.</p>
<p>h) If applicable, geographical areas within the EU to which the product is intended to be confined under the terms of the authorization applied for. Any type of environment to which the product is unsuited</p> <p>The authorization sought by the Applicant for a use as feed material of the product ‘PT73 <i>E. coli</i> (THR)’ concern the EU market.</p> <p>Environment to which the product is unsuited: not applicable.</p>

9. Measures suggested by the applicant to take in case of unintended release or misuse as well as measures for disposal and treatment

The product PT73 *E. coli* (THR) does not contain viable cells and no transferable DNA of the L-threonine-producer GM microorganism. Therefore, it is not necessary to take measures, because no unintended release or misuse is expectable.

B. INFORMATION RELATING TO THE GMM

1. Characteristics of the recipient or (when appropriate) parental organism

1.1 Identity

a) Common name
Strain TKIIHΔPPC
b) Strain designation
Not applicable. Strain TKIIHΔPPC is only a laboratory strain used for the construction of the final L-threonine producer microorganism (i.e. strain TK7).
c) Source of the strain
<i>E. coli</i> K-12
d) Accession number from a recognized culture collection
Strain TKIIHΔPPC has not been deposited in a culture collection. It is only a laboratory strain used for the construction of the final L-threonine producer microorganism (i.e. strain TK7).

1.2 Taxonomy

a) Genus
<i>Escherichia</i>
b) Species
<i>Escherichia coli</i>
c) Subspecies
Not applicable
d) Strain
K12

1.3 Other names

There are no other names for the recipient strain, as it is an intermediate strain.

1.4 Phenotypic and genetic markers

a) Phenotypic and genotypic information relevant to identification, genetic stability and safety
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- Single cells, which are Gram-negative rods and not sporulating
- Colony: size around 2 to 3 mm, round, rough and whitish with a clear edge
- Glucuronidase activity
- No evidence of instability of the recipient strain

b) Information on pathogenicity

In the performed studies, absence of pathogenicity was shown. The results of these studies are described in B.1.11.d of this summary.

c) Biological properties

Following nutritional and physicochemical demands apply to the development of the *E. coli* K12 strain:

- Facultative anaerobic micro-organism with optimum growth temperature of 37 °C and optimum growth pH between 6.8 and 7.2,
- *E. coli* K12 requires a supply of organic carbon,
- *E. coli* K12 requires a supply of nitrogen.

1.5 Degree of relatedness between recipient and donor(s), when appropriate

Except one genetic modification, the sequences introduced or modified in the final strain TK7 are all coming from *E. coli* K12 genomes or vectors/ transposons developed from *E. coli* K12 strains.

1.6 Description of identification and detection techniques

Ribotyping and serotyping are used as detection techniques. However, it is not considered relevant to develop detection techniques considering that it is a laboratory strain.

1.7 Sensitivity, reliability and specificity of the detection techniques

This is not relevant for the recipient strain, as it is a laboratory strain.

1.8 Source and natural habitat of the recipient microorganism

Not applicable for GM product falling within Group 2 according to the EFSA guidance document for this application.

1.9 Organisms with which transfer of genetic material is known to occur under natural conditions

The recipient strain has neither conjugative plasmids nor self-transmissible plasmids. Therefore, the possibility of natural transfer is expected to be very low.

1.10 Information on the genetic stability of the recipient microorganism

No evidence of instability of the recipient strain.

1.11 Pathogenicity, ecological and physiological traits

a) Classification of hazard according to the current Community legislation
<i>E. coli</i> K12 strains are to be categorized in Group 1 according to Directive 2000/54/EC within the European Union. Microorganisms in this group are biological agents, which are unlikely to cause human disease.
b) Information on the doubling time and of the mode of reproduction
<i>E. coli</i> has a doubling time of less than one hour, but this is strongly increased in the case of <i>E. coli</i> K12 strains producing amino acids (more than 2 hours). The mode of reproduction is the vegetative form.
c) Information on survival, ability to form spores or other survival structures
<i>E. coli</i> K12 as well as the host strain TKIIHΔPPC strain do not produce spores and have no other survival structures.
d) Infectivity
<p>-<i>E. coli</i> K12 is listed as a non-pathogenic micro-organism.</p> <p>-Safety has been extensively reviewed and <i>E. coli</i> K12 is known as non-toxicogenic.</p> <p>Study results:</p> <ul style="list-style-type: none">- TK7 (L-threonine producer strain) does not contain genes of virulence factors.- Parental <i>E. coli</i> strains did not produce heat-labile enterotoxins, heat-stable enterotoxins or verotoxin or have pathogenicity factors.- <i>E. coli</i> strains have not been reported to cause allergic reactions. <p>Based on the information provided by the studies on different parental strains of the recipient strain, on the final strain L-threonine producing strain TK7 and on the body of evidence for the safety of <i>E. coli</i> K12, the absence of pathogenicity of strain TKIIHΔPPC is considered to be sufficiently proven.</p>
e) Presence of genes that confer antibiotic resistance
The <i>E. coli</i> K12 strain is not expected to present antibiotic resistance.
f) Involvement in environmental processes
Not applicable, because this concerns an intermediate strain which is only used at laboratory level.

1.12 Information on indigenous mobile genetic elements

No presence of indigenous mobile elements was found in the parental *E. coli* K12 strain.

1.13 Description of its history of use

No reported instances of this (*E. coli* K12) micro-organism's pathogenicity or toxicity during its more than 50 years laboratory use.

1.14 History of previous genetic modifications

Recipient strain TKIIHΔPPC is obtained from *E. coli* K12 by several steps of genetic modification. This information is considered as confidential information. Therefore, no details are provided in the summary.

2. Characteristics of the donor organism(s)

Except one genetic modification, the sequences introduced or modified in the final strain TK7 are all coming from *E. coli* K12 derivative strains. For these *E. coli* K12 derivative strains the elements of this section are already described in part B.1 of this summary. Below, only the non-confidential characteristics of the other donor organism are described.

2.1 Identity

a) Common name (of the non K12 donor microorganism)
Not applicable as this donor microorganism is used at Ajinomoto laboratory level only.
b) Strain designation (of the non K12 microorganism)
<i>E. coli</i> H155
c) Source of the strain
Strain used at Ajinomoto laboratory level only.
d) Accession number from a recognized culture collection
Not applicable as the strain <i>E. coli</i> H155 used at Ajinomoto laboratory level has not been deposited in any culture collection.

2.2 Taxonomy

- Class:	Scotobacteria
- Order:	Eubacteriales
- Family:	Enterobacteriaceae
- Genus:	Escherichia
- Species:	<i>Escherichia coli</i>
- Strain:	not clearly defined

2.3 Other names

No other name for the donor microorganism (<i>E. coli</i> H155).

2.4 Phenotypic and genetic markers

This information is considered as confidential information and is, therefore, not provided in this summary.

2.5 Description of identification and detection techniques

It is not relevant to develop detection techniques for this donor strain (as it is a laboratory strain). Only the amino acid producing strains are relevant in this respect.

2.6 Sensitivity, reliability and specificity of the detection techniques

It is not relevant to develop detection techniques for this donor strain (as it is a laboratory strain). Only the amino acid producing strains are relevant in this respect.

2.7 Source and habitat of the organism

This section is not relevant, because the donor organism (*E. coli* H155) is a laboratory strain.

2.8 Pathogenicity traits

Studies carried out on strain *E. coli* H155 have shown the absence of any factor of adhesion, invasion, survival in tissues, cytotoxicity or cytotoxicity and of diarrhoeagenic enterotoxins. These results, thus, allow the conclusion that this *E. coli* strain will not be pathogenic to humans or animals.

2.9 Description of its history of use

The applicant is not aware of information about the usage of this donor strain used (*E. coli* H155), beyond its own usage of the strain and the studies it has performed.

3. Description of the genetic modification process

3.1 Characteristics of the vector

Strain TK7 is constructed of recipient strain TKIIIHΔPPC and one plasmid pK1:APT:AB, the latter having been incorporated in the former by transformation. Vector of the plasmid is widely used in recombinant DNA experiments using *E. coli*. Each fragment inserted is well characterized and sequenced and does not contain unknown reading frames. Detailed information on plasmid pK1:APT:AB and all the information on the structure of the plasmid and on the vector effects are considered as confidential information and is, therefore, not included in this summary.

3.2 Information relating to the genetic modification

The information relating to the genetic modifications of the recipient strain and on the function of the main sequences inserted in the plasmid pK1:APT:AB are considered as confidential information and is, therefore, not included in this summary.

4. Identification of the conventional counterpart microorganism and its characteristics

Not applicable for GM product falling within Group 2 according to the EFSA guidance document for this application.

5. Information relating to the GMM and comparison of the GMM with its conventional counterpart

5.1 Description of the genetic trait(s) or phenotypic characteristics and any new trait which can be expressed or no longer expressed

The genotypic information of the GMM corresponds with the genotypic information of the recipient strain TKIIHΔPPC, which is provided in section B.1 of this summary. There is additional genotypic and biological property information on the final strain TK7, but this is considered as confidential information and is, therefore, not included in this summary.

5.2 Structure and amount of any vector and/or donor nucleic acid remaining in the final construction of the modified microorganism

This information is considered as confidential and is, therefore, not included in this summary.

5.3 Stability of the microorganism in terms of genetic traits

The stability of the genetic modification is analysed by three approaches (threonine producing ability, percentage of colony forming units containing plasmid, analysis of plasmid). These approaches reflect the good segregational and structural stability of the plasmid.

5.4 Rate and level of expression of the new genetic material

Not applicable for GM product falling within Group 2 according to the EFSA guidance document for this

application.

5.5 Description of identification and detection techniques

The traceability method proposed for 'PT73 *E. coli* (THR)' could be applied to strain TK7 for its identification.

5.6 Information on the ability to transfer genetic material to other organisms

Except the Km gene coding for the resistance to kanamycin, the other genes present in the plasmid are genes related to amino acid metabolism. However, the plasmid containing the Km gene was constructed from a non-conjugative plasmid and the plasmid does not present homologous sequences with mob and tra sequences.

Moreover, an adequate inactivation process was developed and is applied to the 'broth out' at the completion of the fermentation phase to ensure the absence of viable cells and transferable DNA of the L-threonine producer microorganism in the final product PT73 *E. coli* (THR).

The main risk for genetic transfer is related to DNA transformation. However, the DNA of strain TK7 is degraded extensively by the 'inactivation process' which results in the presence of non functional DNA in the final product 'PT73 *E. coli* (THR)'.

5.7 Information on the interaction of the GMM with other organisms

Not applicable for GM product falling within Group 2 according to the EFSA guidance document for this application.

5.8 History of previous releases or uses of the GMM

Not applicable to the GMM used to produce PT73 *E. coli* (THR). This microorganism has not been subject to any release.

5.9 Safety for humans and animals

a) Information on any toxic, allergenic or other harmful effects on human or animal health

No details on the genetic modification process are provided, because this concerns confidential information. It may be stated that considering the nature of the modifications made to obtain the strain TK7, the characteristics of the L-threonine / PT73 *E. coli* (THR) manufacturing process no toxic, allergenic or other harmful effects on human or animal health are to be expected from the L-threonine producing microorganism TK7

b) Potential for DNA transfer or any capacity for enhanced gene transfer

This section is answered in section C.4 of this summary.

c) Viability and residence time of the GMM in the alimentary tract

Not applicable to PT73 *E. coli* (THR) deriving from the GMM as this biomass does not contain viable cells of the GMM.

d) Information on any impact of the GMM on the microbiota of the human or animal gastrointestinal tract

Not applicable to PT73 *E. coli* (THR) deriving from the GMM as this biomass does not contain viable cells of the GMM.

5.10 Information on monitoring, control, waste treatment and emergency response plans

This section is not applicable to final products deriving from GMM falling within Group 2 according to the EFSA guidance document of this application.

C. INFORMATION RELATING TO THE GM PRODUCT

1. *Information relating to the production process*

PT73 *E. coli* (THR) is a by-product of the manufacturing process of L-threonine by fermentation. L-threonine is produced by fermentation process ('fed-batch fermentation') of a selected strain of *E. coli* K12, which has been modified to produce L-threonine. The fermentation culture medium consists of carbon sources, nitrogen sources, salts, amino acids and vitamins. The production of PT73 *E. coli* (THR) consists of the following steps (chronological): strain preservation, culturing of seeds, fermentation using the sterilised raw materials of the fermentation culture medium, ammonia and filtrated air. Afterwards the broth is inactivated and subjected to further processing containing the following steps (chronological): recovery and washing of the inactivated cells of the L-threonine producer, decantation and concentration of the bacterial cells, cell drying, granulation, cooling, sieving and storage.

2. *Information relating to the product purification process*

2.1 Technique used to remove microbial cells from the product

Not applicable since the microbial cells are not removed from the product. PT73 *E. coli* (THR), in essence, contains inactivated and denaturated microbial cells.

2.2 Information on the technique used to kill the microbial cells

The micro-organism inactivation procedure has been defined on the basis of bibliographic data on the sensitivity of *E. coli* to heat. As all vegetative cells, *E. coli* shows a high sensitivity to heat treatment, contrary to spore-forming organisms.

The possible presence of viable cells in PT73 *E. coli* (THR) after the inactivation procedure is investigated by detection of bacterial growth of viable cells after plating on *E. coli* specific media. No viable TK7 cells were detected in 5 grams of the product tested.

2.3 Information on the process used to purify the product from the microbial growth medium

This section, mainly introduced for 'purified' products, such as amino acids, enzymes, etc., is not really applicable to products such as PT73 *E. coli* (THR).

It may be indicated that the inactivated bacterial cells making up PT73 *E. coli* (THR) are washed during their recovery.

3. Description of the product

3.1 Designation of the product

PT73 *E. coli* (THR) is a dried killed bacterial biomass, a by-product of L-threonine production by fermentation using a genetically modified strain of *E. coli* K12. PT73 *E. coli* (THR) is intended to be used as feed material. PT73 *E. coli* (THR) has a complex nature and does not contain viable cells nor transferable DNA of the GMM. The proposed commercial name of PT73 *E. coli* (THR) is 'PROT-AEL-T' (standing for 'Protein- Ajinomoto Eurolysine- Threonine')

The product will be delivered in bulk to feed mills. The information corresponding to labelling will be provided to customers by means of the commercial documents preceding or accompanying the delivery of PT73 *E. coli* (THR) and the commercial technical sheet corresponding to this product.

3.2 Intended use and mode of action

The product is intended to be used as a feed material supplying protein in compound feeding stuffs for pigs (grower-finisher), fish (salmonids), ruminants (in particular dairy cow).

3.3 Composition

-A compositional analysis was performed for the following parameters to determine its main and minor components (also in view of assessing its nutritive value) and the occurrence of potential contaminants:

- * Nitrogen components (total and free amino acids, ammonium N, amide N, urea N, biogenic amines, nitrates and nitrites, nucleic acids)
- * Total lipids, fatty acids
- * Carbohydrate fraction
- * Organic acids
- * Inorganic components
- * Vitamins
- * Potential contaminants: heavy metals, organochlorine and organophosphorus pesticides, dioxins, PCBs, polyaromatic hydrocarbons

PT73 *E. coli* (THR) has a high Crude Protein content (773 g/kg DM). Approximately 10% of the nitrogen is present in the form of ammonium-N in PT73 *E. coli* (THR). The remaining part of the N-containing fraction consists mainly of true protein and amino acids (sum of amino acids after acid hydrolysis is 629 g/kg DM). The product also contains a substantial amount of sulphates (30 g/kg DM).

The content of PT73 *E. coli* (THR) in crude fat, sugars/ carbohydrates and crude fiber is low or very low, respectively about 70g, 9g and 7g per kg DM.

3.4 Physical properties

PT73 *E. coli* (THR) is a brown solid product with a bulk density of 0.638 kg/L and a pH of 4.0 (in 10 % w/v suspension).

- Electrostatic properties (mJ): $810 < MIE^1 < 1200$
- Auto-ignition: 520 °C
- Thermoanalysis: 219 °C (Classified as ‘among most reactive dusts’)
- Explosivity:
 - Pmax: 6.7 bar
 - MRPmax: 362 bar/s
 - Kst: 98 bar
 - Explosion class: St1

3.5 Technological properties

A large quantity of PT73 *E. coli* (THR) was produced for carrying all studies necessary to the application. To minimize any risk of degradation, especially microbial degradation, of this quantity of PT73 *E. coli* (THR) until parts are taken to prepare the various experimental diets for the studies to evaluate its safety and nutritive value or for carrying out stability studies, it was stored in refrigerated conditions at 2-3°C with 70% humidity in big bags of about 1 ton each. This quantity was subject to regular monitoring and microbiological analyses over a total period of 23 months. PT73 *E. coli* (THR) was stable from a microbiological perspective during the storage period of 23 months at 2-3 °C. Therefore, the chemical composition and the nutritive value of the product were not altered due to the activity of microorganisms during this storage.

The effects of different climatic conditions - combinations of different temperatures and relative humidity (RH) - on the behaviour and stability of PT73 *E. coli* (THR), as such, or of compound feedingstuffs containing it (compound feedingstuffs prepared to evaluate its nutritive value) were investigated:

- PT73 *E. coli* (THR) was chemically and physically stable during 12 months storage at 5 different climatic conditions, covering a wide range of moderate and subtropical conditions. PT73 *E. coli* (THR) demonstrated a good microbiological quality during the storage period and can, therefore, be considered as microbiologically safe feed material.
- Pig feeds and dairy concentrates containing max. 20% PT73 *E. coli* (THR) were chemically stable during 6 months storage at 3 different climatic conditions, covering a realistic range of moderate and subtropical conditions. Furthermore, they did not contain pathogenic microorganisms at hazardous levels.

4. Assessment of the presence of recombinant DNA and of the potential risk of gene transfer

The inactivation procedure applied to the (fermentation) ‘broth out’ effectively kills the cells of the GMM demonstrating eradication of viable cells of the L-threonine producing microorganism from PT73 *E. coli* (THR).

The potential presence of plasmid DNA in the product after inactivation was also identified and characterized. The presence of DNA in PT73 *E. coli* (THR) was assessed by PCR (Polymerase Chain Reaction). The product contains low levels of pK1:APT:AB plasmid fragments. However, these are small size fragments and do not contain the complete *npfI* gene. Therefore, gene transfer from the product is considered very unlikely. This is in accordance with the negative results obtained in the transformation experiments in which no marker gene resistant transformation was detected.

5. *Comparison of the GM product with its conventional counterpart*

This section is not applicable to PT73 *E. coli* (THR), intended to be placed on the market as feed material, because no biomass resulting from the production of threonine using a conventional strain of *E. coli* K12 has been previously manufactured and placed on the market. A comparative risk assessment with a conventional counterpart is thus not possible. A full risk assessment was therefore carried out.

6. *Considerations for human health and animal health of the GM product*

6.1 Toxicology

The safety of PT73 *E. coli* (THR) was evaluated with several studies. Here the main conclusions of these studies are presented.

Laboratory animals:

* Acute toxicity studies

- The acute oral toxicity of PT73 *E. coli* (THR) in rats has an LD₅₀ > 2000 mg/kg body weight. PT73 *E. coli* (THR) is not harmful when ingested.
- The acute inhalation toxicity of PT73 *E. coli* (THR) in rats has an LD₅₀ > 5.25 g/m³. PT73 *E. coli* (THR) is not harmful when inhaled.
- PT73 *E. coli* (THR) is not irritating to the skin and to the eyes.

As any feed containing protein, PT73 *E. coli* (THR) is a potential sensitizer to the skin and by inhalation. As it will be delivered in bulk, information corresponding to the risk phrases R42/43 ('May cause sensitization by inhalation and skin contact') according to Directive 2001/59/EC will be provided through the product's MSDS and in the document accompanying the delivery.

Overall, it is concluded that PT73 *E. coli* (THR) has a low acute toxicity. The product may be a sensitizer (risk phrase R42/43).

* Subchronic and genetic toxicology studies

Studies carried out with PT73 *E. coli* (THR) lead to the following conclusions with regard to the biological consequences:

- PT73 *E. coli* (THR) is not mutagenic.
- In a 13-week oral toxicity study in rats, PT73 *E. coli* (THR) is tolerated without obvious signs of toxicity at dietary levels up to 20% (equivalent to 10 and 11 g/kg bw/d in males and females, respectively).
- No effects on reproduction are expected on the basis of the reproduction parameters, which were

found to be normal in the subchronic feeding study in rats.

- The results of a developmental toxicity study in the rat indicated that no effects on development are to be expected from feeding PT73 *E. coli* (THR) to pregnant animals up to dietary levels of 20%.

Target animals

Studies carried out with PT73 *E. coli* (THR) lead to the following conclusions with regard to the biological consequences:

- Pigs can tolerate feed supplemented with up to 10% PT73 *E. coli* (THR) without effects on the zootechnical performance or health of pigs.
- No effects on fertility or reproduction in the target animals are to be expected from the intended use of PT73 *E. coli* (THR) in animal feed on the basis of the fertility and fecundity parameters in experimental animal studies.
- No effects on microflora in the gastrointestinal tract, colonisation of pathogens in the GI tract, or increased antibiotic resistance are to be expected from the intended use of PT73 *E. coli* (THR) in animal feed.
- No residues from heavy metals, pesticides, PAHs, PCBs, dioxins, and mycotoxins originating from the raw materials used in the manufacturing process of PT73 *E. coli* (THR) or that may be formed during this one, are expected in edible commodities or excreta of animals fed this by-product.
- Very low residue levels of anti-foaming agents in edible products and excreta cannot be excluded. If any potential effects may result from them, they are part of the toxicological assessment of PT73 *E. coli* (THR) and not considered of relevance.

It is noted that PT73 *E. coli* (THR) is a complex mixture of components for which the following considerations comply: components other than some proteins are usual components / nutrients present in feed materials (of which metabolism is known), microbial strain producing L-threonine is well identified and characterised (does not produce toxins) and the product does not contain contaminants of toxicological concern at the levels present. From these points it was concluded that studies on the metabolism of PT73 *E. coli* (THR) were not considered of additional value.

Conclusion

Overall, it is concluded that, based on studies performed on target and experimental animals as well as on supplemental information and toxicological considerations, the intended use of PT73 *E. coli* (THR) in animal feed is not expected to result in undesirable biological consequences for target animals or the environment. Pigs can tolerate a maximum incorporation rate of 10% PT73 *E. coli* (THR) in the daily ration. Workers handling the product are advised to take protective measures, which are described in the MSDS.

6.2 Risk assessment of newly expressed proteins

Except for the protein corresponding to the enzymatic activity allowing the resistance of strain TK7 to kanamycin, other proteins expressed, as a result of the construction of strain TK7, are proteins/enzymes of the general metabolism of *E. coli*/E. coli K12 or of the metabolic pathways leading to threonine production and

promoting it. The risk assessment of these proteins is part of the overall assessment of PT73 *E. coli* (THR). Based on these data, proteins part of PT73 *E. coli* (THR) are not considered to be of health relevance.

6.3 Testing of new constituents other than proteins

PT73 *E. coli* (THR) is not known to contain new constituents.

6.4 Information on natural food and feed constituents

PT73 *E. coli* (THR) is a complex product and its constituents, other than some proteins, are found in a number of other feed materials. As a new feed material, without any conventional counterpart to which it could be compared to, even at least partially, PT73 *E. coli* (THR) was assessed as such regarding its safety and nutritional value.

6.5 Testing of the whole GM product

The following studies were performed for the whole product:

- acute toxicity studies
- (sub)chronic toxicity studies
- genetic toxicology testing
- studies on target species: cows, pigs and fish
 - * tolerance studies
 - * performance studies
 - * digestibility studies

The results are presented in C.6.1 of this summary.

6.6 Allergenicity

As PT73 *E. coli* (THR) is intended for use in feed, it is noted that regarding animal health, allergenicity is not an issue that needs to be addressed specifically.

6.7 Assessment of allergenicity of newly expressed protein

According to the EFSA Guidance document, Section III. C.6.8 ‘Regarding animal healthy, allergenicity is not a significant issue that needs to be addressed specifically’.
Therefore, although PT73 *E. coli* (THR) may contain newly expressed proteins as a result of the construction of L-threonine producer strain TK7, this section was not specifically addressed.

6.8 Assessment of allergenicity of the whole GM product

As PT73 *E. coli* (THR) is intended for use in feed, it is noted that regarding animal health, allergenicity is not an issue that needs to be addressed specifically.

6.9 Nutritional assessment of GM feed

PT73 *E. coli* (THR) can be described as a microbial biomass with a high crude protein content (773 g/kg dry matter). Approximately 10% of the nitrogen is present in the form of ammonium-N. The remaining part of the N-containing fraction consists mainly of true protein and amino acids.

The in vitro digestibility study, in combination with the digestibility and performance studies in pigs, indicates that PT73 *E. coli* (THR) is a suitable protein source in the diet for pigs (monogastrics). The optimal nutritional level of the product PT73 *E. coli* (THR) for pigs is up to a level of 120 g/kg feed. The overall eating quality of meat from pigs fed with a diet containing PT73 *E. coli* (THR) at this level is not found to be different from the control group fed a standard diet without PT73 *E. coli* (THR).

The in vitro digestibility study and digestibility study in sheep indicate that PT73 *E. coli* (THR) is also a suitable protein source in the diet for ruminants. Comparison with a closely related product coming from L-lysine production [see separate application for the dried killed bacterial biomass named ‘PL73 *E. coli* (LYS)’] indicates that PT73 *E. coli* (THR) can be used up to a level of 73 g/kg DM (or ~80 g/kg on as is basis) in feeds for ruminants (dairy cow).

The digestibility and growth studies in fish (rainbow trout) indicate that PT73 *E. coli* (THR) is a suitable source of protein that can be substituted to fishmeal in trout diet. Substitution of fishmeal by up to 20% PL73 *E. coli* (LYS) does not alter growth performances of the trout nor the animal characteristics.

6.10 Post-market monitoring of GM products

The applicant has the opinion that no post marketing monitoring of PT73 *E. coli* (THR) is necessary because the product does not contain viable cells, nor transferable DNA of the L-threonine producing GMM. The product is intended to be placed on the market as feed material.

A somewhat comparable dried killed bacterial biomass resulting from the production of L-lysine using a *Corynebacterium glutamicum*/*Brevibacterium lactofermentum*⁽¹⁾ strain has been placed on the market as feed material, since 1976 as a product obtained using conventional strains of *B. lactofermentum*, and since January

1998 as as product obtained using GM strains of *B. lactofermentum* (notified as ‘existing product’ according to article 20 (1) of Regulation (EC) No 1829/2003 and listed in the Register of GM Foods and Feeds, without any report of whatsoever adverse effect..

(1) These are two names for the same species: *Brevibacterium lactofermentum* has been re-classified as *Corynebacterium glutamicum*.